The Alpha-Proton Differential Flow in the Alfvénic Young Solar Wind: From Sub-Alfvénic to Super-Alfvénic

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ABSTRACT

Data obtained from Parker Solar Probe (PSP) since 2021 April have shown the first in situ observation of the solar corona, where the solar wind is formed and accelerated. Here we investigate the alpha-proton differential flow and its characteristics across the critical Alfvén surface (CAS) using data from PSP during encounters 8-10 and 12-13. We first show the positive correlation between the alpha-proton differential velocity and the bulk solar wind speed at PSP encounter distances. Then we explore how the characteristics of the differential flow vary across the CAS and how they are affected by Alfvénic fluctuations including switchbacks. We find that the differential velocity below the CAS is generally smaller than that above the CAS, and the local Alfvén speed well limits the differential speed both above and below the CAS. The deviations from the alignment between the differential velocity and the local magnetic field vector are accompanied by large-amplitude Alfvénic fluctuations and decreases in the differential speed. Moreover, we observe that $V_{\alpha p}$ increases from $M_A < 1$ to $M_A \simeq 2$ and then starts to decrease, which suggests that alphas may remain preferentially accelerated well above the CAS. Our results also reveal that in the sub-Alfvénic solar wind both protons and alphas show a strong correlation between their velocity fluctuations and magnetic field fluctuations, with a weaker correlation for alphas. In contrast, in the super-Alfvénic regime the correlation remains high for protons, but is reduced for alphas.

1. INTRODUCTION

The two main ion components of the solar wind, protons and alpha particles, are different in terms of their velocity and temperature (e.g., Marsch et al. 1982; Steinberg et al. 1996; Neugebauer et al. 1996; Kasper et al. 2007, 2012; Mostafavi et al. 2022). Despite being heavier, alpha particles tend to travel faster than protons, which generates a differential streaming between them. This phenomenon was first reported by Robbins et al. (1970), and remains an unsolved puzzle. Several mechanisms have been proposed to explain the cause of the differential streaming. For example, Isenberg & Vasquez (2009) proposed a cyclotron-resonant Fermi process that is capable of providing the preferential acceleration of minor ions, Verscharen et al. (2013) discussed the role of the parallel Alfvénic drift instabilities in the formation and evolution of the differential streaming, and Chandran (2010) claimed that stochastic heating caused by low-frequency Alfvén-wave turbulence also contributes to the preferential heating of minor ions. According to Hu & Habbal (1999), the preferential acceleration and heating of the alpha particles close to the Sun are both due to the resonant cyclotron interaction.

Before the Parker Solar Probe (PSP; Fox et al. 2016) era, the differential flow was studied with data from 0.3 to 5.5 au. Some common features of the differential velocity emerged in this range of distances. For example, using data from Helios 1 and 2, Marsch et al. (1982) reported a clear tendency of the differential speed increasing with the solar wind speed. The same conclusion was drawn by Steinberg et al. (1996) and Ďurovcová et al. (2017) with Wind observations. In addition, previous observations suggested that the differential speed is of the order of, but generally lower than, the local Alfvén speed (e.g., Marsch et al. 1982; Neugebauer et al. 1996; Berger et al. 2011; Ďurovcová et al. 2017). One possible interpretation was given by Gary et al. (2000) that a sufficiently fast differential flow can lead to alpha-proton instabilities that could drive wave-particle scattering. This scattering reduces the differential speed and thus limits it to be lower than the local Alfvén speed.

Another interesting feature is the alignment between the differential velocity and the local magnetic field vector (e.g., Marsch et al. 1982; Yamauchi et al. 2004; Matteini et al. 2015). Yamauchi et al. (2004), for example, revealed a high correlation between the differential velocity and the magnetic field vector both inside and outside pressure balance structures with Ulysses observations.

The PSP mission, launched in 2018, has enabled us to investigate the differential flow at unprecedented proximity to the Sun (McManus et al. 2022; Mostafavi et al. 2022). Mostafavi et al. (2022) confirmed the positive correlation between the differential velocity and the bulk solar wind speed, the dependence of the differential flow on heliocentric distance, and the limitation of the differential speed by the local Alfvén speed inside 0.3 AU, using PSP data from encounters 3-7. However, sub-Alfvénic solar wind measurements are not incorporated into their study, and the impacts of Alfvénic fluctuations on the differential flow are not discussed. Ofman et al. (2023) studied the nonlinear evolution of ion kinetic instabilities and the transfer of energy between ions and waves in the sub-Alfvénic regime, which suggests that the locally produced ion instabilities contribute to the anisotropic heating of alphas. One of the striking observations of PSP is the common presence of Alfvénic switchbacks in the young solar wind, which are characterized by a deflection in the magnetic field direction and an increase in the radial velocity (Bale et al. 2019; Kasper et al. 2019). McManus et al. (2022) examined the 3D nature of the velocity fluctuations of alphas and protons in switchbacks using PSP observations from encounters 3 and 4. They revealed that the velocity of alphas may increase, remain constant, or decrease in switchbacks depending on whether the differential speed is smaller, equal to, or larger than the local wave speed. Here our study includes the behaviors of alphas and protons inside switchbacks at closer distances to the Sun. Another important achievement of PSP is the crossing of the critical Alfvén surface (CAS) for the first time in 2021 April (Kasper et al. 2021), which happened at a surprisingly large distance (~ 19 R_S). Liu et al. (2023) suggested that the sub-Alfvénic solar wind detected by PSP is a special type of wind emanating from boundaries inside a coronal hole, termed a low Mach-number boundary layer (LMBL). An LMBL is associated with an enhanced Alfvén radius, which explains the crossing of the CAS at ~ 19 R_s .

In this paper, we focus on the characteristics of the alpha-proton differential flow across the CAS and how they are affected by Alfvénic fluctuations including switchbacks. Given the magnetic nature of switchbacks and the unprecedented measurements of the sub-Alfvénic solar wind, it is of great interest to investigate the above mentioned properties of the differential flow at PSP encounter distances, especially in the new domain below the CAS, and to explore the effects of Alfvénic fluctuations on the differential flow. Specifically, this work aims to address the following questions: (i) How does the alpha-proton differential flow vary with the solar wind speed in the most recent orbits of PSP? (ii) How do the characteristics of the differential flow vary when the solar wind changes from sub-Alfvénic to super-Alfvénic (i.e., across the CAS)? (iii) How do Alfvénic fluctuations including switchbacks affect the differential flow? This work is organized as follows. In Section 2, we present our analysis and results. We summarize our conclusions in Section 3.

2. OBSERVATIONS AND RESULTS

Data used in this study are from the FIELDS (Bale et al. 2016) instrument suite and the SWEAP (Kasper et al. 2016) package aboard PSP. The level 2 product from FIELDS provides us the RTN magnetic field data. The ion data are from the level 3 moment products from SPAN-I, which is an electrostatic analyzer on the ram side of PSP (Livi et al. 2022). We consider an interval of observations to be reliable if the cores of the ions (protons and alphas) are within the field of view and energy range of SPAN-I. Further, transient events such as coronal mass ejections (CMEs) or heliospheric current sheet (HCS) crossings in the selected intervals are excluded. The details of the data selection and curation in this study are given in the Appendix. Densities measured by SPAN-I are derived from partial moments of the particle velocity distributions, which may not be accurate as the full ion distribution is not captured by SPAN-I. Therefore, we adopt the electron density from quasi-thermal noise (QTN) spectroscopy to represent the plasma density (Moncuquet et al. 2020). The local Alfvén speed is thus computed as $V_A = B/\sqrt{\mu_0 n_e m_p}$, where B is the local magnetic field strength, μ_0 is the permeability of vacuum, n_e is the electron density, and m_p is the proton mass. Use of electron density as a representation of the plasma density may introduce an overestimate of the local Alfvén speed by 2% to 5% (Mostafavi et al. 2022). We use PSP data from encounters 8-10

and encounters 12-13, during which sub-Alfvénic solar wind measurements are reported (Jiao et al. 2024). We exclude encounter 11 due to the absence of the QTN density for this encounter. The data are linearly interpolated to 2 s resolution. All vector data are in the RTN coordinate system.

The magnitude of the differential velocity is calculated as:

$$|\boldsymbol{V}_{\boldsymbol{\alpha}\boldsymbol{p}}| = \operatorname{sign}(|\boldsymbol{V}_{\boldsymbol{\alpha}}| - |\boldsymbol{V}_{\boldsymbol{p}}|)(|\boldsymbol{V}_{\boldsymbol{\alpha}} - \boldsymbol{V}_{\boldsymbol{p}}|). \tag{1}$$

This derivation takes the direction of both the protons and alphas into consideration (e.g., Steinberg et al. 1996; Berger et al. 2011; Durovcová et al. 2017; Mostafavi et al. 2022). The method for calculating the deflection angle (i.e., θ) of the magnetic field is adopted from Liu et al. (2023). The calculation is capable of indicating the deflection direction of the magnetic field. The angle θ varies from $-\pi$ to π , with a negative one corresponding to a clockwise deflection and a positive one corresponding to a counter-clockwise deflection when viewed from the north. A value of $-\pi$ or π implies a completely reversed magnetic field. We include all possible deflection angles instead of only those larger than 90° (e.g., Dudok de Wit et al. 2020; Liu et al. 2023). Liu et al. (2023) showed that with this derivation of the deflection angle the enhancement in the proton radial velocity can be written as $\delta V_{pR}/V_A = 1 - \cos\theta$, which well explains the one-sided nature of the velocity spikes observed within switchbacks (e.g., Gosling et al. 2009; Horbury et al. 2018; Kasper et al. 2019). Readers are directed to Liu et al. (2023) for a detailed description of the derivation of the deflection angle and its relationship with the velocity enhancement. Also, following Liu et al. (2023), we derive the variation of the radial velocity of the particles δV_R by subtracting a low-pass filtered radial velocity V_{Rf} from V_R . The cuttoff frequency of the filter is 2×10^{-4} Hz, which is below the break frequency (about 2×10^{-3} Hz) between the energy-containing range and inertial range in the power spectrum of solar wind fluctuations (e.g., Kasper et al. 2021; Zank et al. 2022).

2.1. Overview of Measurements at Encounters 9 and 10

We first present an overview of the measurements at encounters 9 and 10, which show a slow solar wind case and a fast solar wind case, respectively. Figure 1 displays the in situ measurements near the perihelion of encounter 9 made from 19:40 UT on 2021 August 5 to the beginning of 2021 August

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10, during which PSP distances range from about 42 R_S to 16 R_S . We select this time range, because it covers a relatively wide range of $V_{\alpha p}$ and contains sub-Alfvénic intervals. The radial velocity of protons in this interval starts from around 300 km/s, then rises up to about 380 km/s at around 05:00 UT on August 6, and stays at this level until around 12:00 UT on August 7. After this plateau, the radial velocity of protons drops to around 220 km/s and varies in the range from 170 km/s to 300 km/s. As can be seen in Figure 1(c), in the faster solar wind the radial speed of alphas is higher than that of protons, while in the slower solar wind the two radial speeds are close. In the sub-Alfvénic intervals the solar wind is very slow but presents a relatively obvious $V_{\alpha p}$. These slow but fast wind like flows are consistent with the theory of Liu et al. (2023), who term such sub-Alfvénic intervals LMBLs. An LMBL, characterized by a drop in the Alfvén Mach number resulting from its decreased plasma density and relatively low velocity, may originate from the peripheral region of a coronal hole. Figure 1(d) shows the relationship between $V_{\alpha p}$ and V_A . The local Alfvén speed acts as an upper limit for $V_{\alpha p}$. For the faster wind from 06:00 UT on 2021 August 6 to 00:00 UT on 2021 August 7, $V_{\alpha p}$ fluctuates near V_A . The average speed of this interval is 358 km/s. Figure 1(f) displays the cosine value of the angle between the magnetic field vector and the differential velocity. The two vectors are generally well aligned (i.e., close to -1 or 1) except when $V_{\alpha p}$ is close to 0 and changes sign frequently. Such frequent changes in the sign of $V_{\alpha p}$ cause the cosine value to fluctuate between -1 and 1, which makes the determination of the alignment difficult. Therefore, we mark the spikes of the curve in Figure 1(f) every 50 data points with red crosses when $V_{\alpha p}$ is overall positive. The red crosses then represent the times when the alignment deviates. We also mark those points in Figure 1(d) and (e) with crosses too. The locations of the crosses indicate that the spikes in Figure 1 (f) are associated with drops in $V_{\alpha p}$ and large deflection angles. This implies that the deviations from the alignment are related to switchbacks and the velocity fluctuations within switchbacks. Figure 1(i) shows that the velocity variations of protons are largely one-sided as in Liu et al. (2023). The velocity variations of alphas (Figure 1(h)) are similar to those of protons, but appear more two-sided. This may suggest a weaker modulation of alphas by Alfvénic fluctuations. McManus et al. (2022) showed cases where the velocity of alphas may increase, remain constant, or decrease in switchbacks depending on the relationship between the differential speed and the wave speed. This explains the more two-sided velocity variations of alphas. We will discuss this result in more details below.

Figure 2 presents the measurements at encounter 10 from 08:10 UT on 2021 November 19 to the end of 2021 November 21. The reason for selecting this time range is similar as for encounter 9, but here we focus on a fast wind interval. The radial speed of protons first starts from around 450 km/s, and rises to over 500 km/s at the beginning of 2021 November 20. Our magnetic mapping results (not shown here) suggest that PSP was connected to the interior of a large coronal hole during this interval. The magnetic mapping of the same encounter can also be found in Badman et al. (2023). Then it drops to around 250 km/s at the end of 2021 November 20, and soon rises up again to over 400 km/s. This dip of the radial speed of protons corresponds to an interval when PSP was surfing around the CAS (See Figure 2(g)). The radial speed of protons finally drops to a very low level after 14:00 UT on 2021 November 21. As at encounter 9, the radial velocity of alphas is higher than that of protons in the faster solar wind. The local Alfvén speed is an upper limit for $V_{\alpha p}$ in most cases (98.2 %). The alignment between the magnetic field vector and the differential velocity is in general well-kept when $V_{\alpha p}$ does not change sign frequently. The locations of the crosses indicate that the deviations from the alignment are accompanied by large deflection angles and drops in $V_{\alpha p}$. The velocity variations of alphas (Figure 2(h)) again appear more two-sided than those of protons. It is important to mention that, although PSP was traveling in the vicinity of the CAS, we have not identified a steady sub-Alfvénic interval during this time period.

2.2. Distributions and Correlations

After showing the typical examples for the slow and fast wind, here we look at the distributions and correlations in the data. We also include data from encounters 8, 12, and 13 to have enough statistics. Figure 3 contains the histograms of $V_{\alpha p}/V_A$ and θ in the super-Alfvénic and sub-Alfvénic solar wind. We divide the super-Alfvénic (1037029 measurements) and sub-Alfvénic (76606 measurements) solar winds into four groups according to their bulk speed at 100 km/s and 50 km/s intervals, respectively. The groups are chosen such that each group has an acceptable proportion of the data. As suggested by Figure 3(a), for the super-Alfvénic solar wind, most of $V_{\alpha p}/V_A$ is between 0 and 1. Values lower

than 0 (i.e., $V_p > V_{\alpha}$) usually occur in the slower solar winds ($V_p < 320 \text{ km/s}$). Values higher than 1 (i.e., $V_{\alpha p} > V_A$) are rare (only 2.2 %), and they usually occur in the faster solar winds ($V_p > 320$ km/s). McManus et al. (2022) also reported cases when $V_{\alpha p} > V_A$. They suggested that alphas will move in antiphase with protons inside switchbacks if $V_{\alpha p}$ is larger than the wave speed, which will result in a spike in the proton velocity and a dip in the alpha velocity. The reason why $V_{\alpha p}$ could exceed the local Alfvén speed, however, is unclear. The peak in general moves to higher values as the solar wind speed increases. These observations are consistent with previous studies (e.g., Marsch et al. 1982; Mostafavi et al. 2022). Figure 3(b) shows the deflection angle distributions of the super-Alfvénic solar wind. The deflection angle is predominantly negative, which indicates a preferential clockwise rotation of the magnetic field (also see Squire et al. 2022; Fargette et al. 2022). According to Liu et al. (2023), this is due to the clockwise geometry of the background Parker spiral field. The degree of this preference decreases as the solar wind speed increases, as expected from the more radial Parker spiral field in the faster solar wind. The peak also shifts to higher values as the solar wind speed increases, which indicates that larger switchbacks tend to appear in the faster solar winds. In Figure 3(c), we rarely observe data points with $V_{\alpha p}/V_A > 1$ in the sub-Alfvénic solar wind (less than 0.01 %), and data points with $V_{\alpha p}/V_A < 0$ also exist in the slowest solar winds ($V_p < 175$ km/s). Akin to that of the super-Alfvénic solar wind, the peak of the distributions moves to higher values as the solar wind speed increases. For the deflection angle distributions of the sub-Alfvénic solar wind shown in Figure 3 (d), the widths of all categories are roughly the same, and the preference for clockwise rotation still exists. Equal widths agree with the LMBL nature of the sub-Alfvénic intervals. As explained in Liu et al. (2023), a low Alfvén Mach number suppresses the amplitudes of switchbacks, regardless of the solar wind speed. The preference for clockwise rotation of the magnetic field indicates that the influence brought by the clockwise curvature is still valid below the CAS.

Figure 4 shows the scatter plots of $V_{\alpha p}$ versus θ and M_A . We notice a rough decreasing trend of $V_{\alpha p}$ with increasing $|\theta|$. This is consistent with Figure 1 and Figure 2 that large switchbacks are accompanied by drops in $V_{\alpha p}$. The larger switchbacks may disturb the alignment between the differential velocity and the magnetic field vector in the inertial frame, and reduce the magnitude of

the differential velocity (Matteini et al. 2015; McManus et al. 2022). The sub-Alfvénic solar wind is concentrated at small deflection angles as expected, and the presence of large $V_{\alpha p}$ in the sub-Alfvénic wind may indicate the existence of the fast wind like features in the slow sub-Alfvénic solar wind. The right panel shows $V_{\alpha p}$ as a function of M_A . For a better interpretation, we also plot the averages of $V_{\alpha p}$ for each speed category. The curves show the dependence of the differential speed on both M_A and the solar wind speed. Firstly, as the solar wind becomes faster at a fixed M_A , the differential speed generally increases accordingly. On the other hand, the increase of M_A can be considered as the acceleration process of the solar wind from lower to higher altitudes. We observe that the maximum value of $V_{\alpha p}$ increases until $M_A \simeq 2$, which may indicate that a strong preferential acceleration of alphas occurs in this region. In the slower solar wind ($V_p < 320$ km/s) the preferential acceleration of alphas occurs below the CAS, and then decreases above the CAS. In the faster solar wind ($V_p > 320$ km/s) the preferential acceleration region extends out above the CAS. Kasper & Klein (2019) suggested that the preferential heating of alphas, which is highly related to the preferential acceleration of alphas (Kasper et al. 2008; Bourouaine et al. 2011), is predominantly active below the CAS. According to their discussions, a fraction of the outward propagating waves in the expanding solar wind can be reflected back toward the Sun, which, if below the CAS, can travel all the way back to the Sun and interact with the outward propagating waves. Such interactions can lead to wave-reflection-driven turbulence providing the energy for the preferential heating of alphas. It is unclear why alphas are still preferentially accelerated above the CAS in the fast solar wind. It may be attributed to the combination of two factors. On the one hand, the preferential acceleration of alphas is more intense and diverse in the fast solar wind (Marsch et al. 1982). For example, Isenberg & Vasquez (2009) proposed an ion cyclotron-resonant Fermi process that can perpendicularly heat and accelerate minor ions in a high-speed stream originating from a coronal hole. On the other hand, Coulomb collisions are practically negligible in the fast solar wind due to its high-temperature and low-density conditions (Marsch et al. 1982). Therefore, it takes longer for Coulomb collisions to thermalize the plasma in the faster solar wind. This may also contribute to the enhancement of $V_{\alpha p}$ above the CAS in the fast solar wind. After $M_A \simeq 2$, the differential velocity decreases with increasing M_A . The decreasing trend of $V_{\alpha p}$ with M_A above this region might be due to the instabilities that decelerate alpha particles as V_A decreases with distance (Gary et al. 2000), or due to Coulomb collisions that tend to bring all species to equilibrium (Marsch et al. 1982). It is also important to note that the amount of the sub-Alfvénic measurements is limited so far. We expect more sub-Alfvénic observations for a more definite conclusion in the future.

For better understanding the effects of Alfvénic fluctuations across the CAS on the particles, we plot the behaviors of the two ion species in Figure 5. Figure 5(a) displays the radial velocity enhancement of protons in units of the local Alfvén speed versus the magnetic field deflection angle. Their relationship is consistent with the equation $\delta V_R/V_A = 1 - \cos\theta$ given by Liu et al. (2023). The red dashed curve acts as an upper limit for the radial velocity enhancement because the magnetic field is not exactly radial or anti-radial. Figure 5(a) confirms the findings of Liu et al. (2023) but with larger statistics. In the sub-Alfvénic solar wind, the trend is not very clear as the deflection angles are small. The behaviors of alphas in the Alfvénic fluctuations, as shown in Figure 5(b), are different from those of protons, and their velocity fluctuations do not quite follow the red curve. This is consistent with the more two-sided velocity variations of alphas shown in Figure 1 and Figure 2. Therefore, alpha particles are less modulated by Alfvénic fluctuations than protons. Figure 5(c) and (d) present the relation between B_N/B and V_N/V_A for protons and alphas, which can be used as another indicator of the effects of Alfvénic fluctuations (Goldstein et al. 1995). Protons show a strong correlation between B_N/B and V_N/V_A close to the expected Alfvén wave relation (the red dashed line) for both below and above the CAS (correlation coefficient CC = 0.93 and 0.82, respectively). A weaker correlation is seen for alphas in the super-Alfvénic solar wind (CC=0.61), but in the sub-Alfvénic solar wind the correlation appears strong (CC=0.81). It is surprising that below the CAS alpha particles are also considerably modulated by Alfvénic fluctuations. Therefore, alphas may be significantly accelerated and heated by Alfvénic fluctuations below the CAS. The increased correlation between B_N/B and V_N/V_A for alphas in the sub-Alfvénic solar wind may be due to $V_{\alpha p}$ being closer to 0 in this region. According to McManus et al. (2022), the behavior of alphas in Alfvénic fluctuations is close to that of protons when $V_{\alpha p}$ is small. The CC of alphas, however, is smaller than that of protons for both below

and above the CAS. Matteini et al. (2015) analyzed the motions of protons and alphas in the Alfvén wave frame, and suggested that alphas are usually closer to the center of the wave frame, which may result in the weaker modulation of alphas by Alfvénic fluctuations. McManus et al. (2022) demonstrated three scenarios, in which V_N/V_A for alphas is correlated, uncorrelated, and anti-correlated with B_N/B . The combination of these cases may lead to a decrease in the CC for alphas.

3. CONCLUSIONS

We have investigated the characteristics of the alpha-proton differential flow in the young solar wind across the CAS, and the effects of Alfvénic fluctuations including switchbacks on the differential flow. We summarize our findings, which address the three key questions that we have put forward in Section 1, as follows:

- 1. In general, alphas stream faster than protons (in 90.3 % of the cases), the differential velocity between them is aligned with the local magnetic field vector, and the local Alfvén speed provides an upper limit for the differential velocity (in 97.9 % of the cases). These results are consistent with previous studies. However, the situation changes with the solar wind speed. In the slowest solar wind ($V_p < 325$ km/s), we do observe occasions when alphas stream slower than protons (in 14.0 % of the cases). As the solar wind becomes faster, the differential velocity in units of the local Alfvén speed also increases but remains smaller than 1.
- 2. We find an overall enhancement of $V_{\alpha p}$ when $M_A \leq 2$, which may indicate that a strong preferential acceleration of alphas occurs in this region. The preferential acceleration of alphas extends to higher M_A in the faster solar wind. This may be attributed to the combination of two factors: the more intense and diverse preferential acceleration mechanisms and the less significant Coulomb collisions in the faster solar wind. After $M_A \simeq 2$, $V_{\alpha p}$ decreases with increasing M_A . Below the CAS, the differential velocity in units of the local Alfvén speed is generally smaller than 1 (in 99.9 % of the cases), and the case of $V_{\alpha} < V_p$ exists only in the slowest solar wind $(V_p < 175 \text{ km/s})$. The situation is similar above the CAS. The differential velocity is generally

smaller than the local Alfvén speed (in 97.8 % of the cases), and $V_{\alpha p} < V_p$ cases are also seen only in the slowest solar wind ($V_p < 325$ km/s).

- 3. Alfvénic fluctuations including switchbacks could affect the characteristics of the differential velocity. We observe deviations from the alignment between the differential velocity and the local magnetic field vector, and they are accompanied by large magnetic field deflection angles and drops in the differential velocity. Moreover, protons and alphas are modulated by Alfvénic fluctuations in different ways. The effects of Alfvénic fluctuations on protons are stronger than on alphas. These results are consistent with the findings of McManus et al. (2022). The radial velocity variations of alphas are more two-sided than those of protons. In the sub-Alfvénic solar wind, the correlation between B_N/B and V_N/V_A is strong for both protons and alphas (CC = 0.93 and 0.81, respectively). It is surprising that the effects of Alfvénic fluctuations on alphas appear strong in the sub-Alfvénic solar wind. In the super-Alfvénic solar wind, the correlation between B_N/B and V_N/V_A is still strong for protons (CC = 0.82), but for alphas the correlation is weakened (CC=0.61).
- 4. Apart from the above conclusions that correspond to the three key questions in Section 1, we also have some extra findings. We reveal that the deflection angle increases with the solar wind speed in the super-Alfvénic solar wind, while in the sub-Alfvénic solar wind the deflection angle is concentrated at small values in all speed categories. We also find that the preference for clockwise deflections is observed not only in the super-Alfvénic wind, but also in the sub-Alfvénic wind. The degree of the preference decreases as the solar wind speed increases, which may result from the more radial background Parker spiral field in the faster solar wind.

APPENDIX: DATA SELECTION AND CURATION

In order to have reliable velocity measurements, we need to select intervals when the cores of the solar wind ions (alphas and protons) are primarily within the field of view (FOV) and energy range of SPAN-I. For each encounter, the total length of the reliable intervals is usually a few days. Specifically, our selection is derived through the following steps. We select intervals in which the peak of ion flux is in the energy range of SPAN-I. The bulk of the 3D velocity distribution functions (VDFs) of the ions is also confirmed to be within the FOV of SPAN-I during these intervals. Figure 6 shows the azimuthal fluxes of alphas and protons in the spacecraft frame. The selected time intervals are indicated by the dashed lines and curly brackets in Figure 6. Note that the CME at encounter 13 is already removed from the data in this step. Readers are redirected to Liu et al. (2024) for the time interval of the CME. Table 1 lists the detailed time ranges of the selected intervals and the HCS crossings within them. The relatively long duration (up to several hours) of the HCS crossings, as shown in Table 1, is a result of their clustered occurrence. Our final dataset is obtained by subtracting the times of the clustered HCS crossings from the selected intervals.

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Figure 1. PSP measurements at encounter 9 showing a case of the slow solar wind. The shaded areas indicate the sub-Alfvénic intervals. (a) Normalized (to 1 au values) magnetic field magnitude and radial component (red). (b) Normalized electron density (from QTN). (c) Radial velocity of protons and alpha particles (red). (d) Differential speed and local Alfvén speed (red). (e) Magnetic field deflection angle. (f) Cosine of the angle between magnetic field vector and differential velocity. (g) Alfvén Mach number. (h) Radial velocity change of alpha particles in units of local Alfvén speed. (i) Radial velocity change of protons in units of local Alfvén speed. The times of the red crosses are derived by identifying the spikes in panel (f) every 50 data points when the differential speed is overall larger than 0.



Figure 2. PSP measurements at encounter 10 showing a case of the fast solar wind. Similar to Figure 1.



Figure 3. Histograms of $V_{\alpha p}/V_A$ (left) and deflection angle θ (right) in super-Alfvénic (top) and sub-Alfvénic (bottom) solar wind. The super-Alfvénic and sub-Alfvénic solar winds are divided into 4 groups according to their bulk speed at 100 and 50 km/s intervals, respectively. The percentages shown in panels (a) and (c) indicate the proportion of each group to the total number of data points.



Figure 4. Measurements of $V_{\alpha p}$ as functions of magnetic field deflection angle (left) and radial Alfvén Mach number (right). The orange scatter in the left panel refers to the sub-Alfvénic measurement. The curves shown in the right panel indicate the averages of the alpha-proton differential flow as a function of M_A for different ranges of the solar wind speeds.



Figure 5. Effects of Alfvnéic fluctuations on protons (left) and alphas (right). Panels (a) and (b) show the radial velocity variations of protons and alphas in units of the local Alfvén speed as a function of magnetic field deflection angle. The red dashed curves are derived from the equation $\delta V_R/V_A = 1 - \cos\theta$ given by Liu et al. (2023). Panels (c) and (d) refer to the correlations between the normal components of the velocity of protons and alpha particles in units of the local Alfvén speed and the magnetic field. The red dashed lines indicate the slope corresponding to the local Alfvén speed (slope=1). The correlation coefficients (CCs) of the scatters are also given. Again, the orange scatter refers to the sub-Alfvénic measurement.



Figure 6. The azimuthal fluxes of protons (upper) and alphas (lower) in the spacecraft frame over time for each encounter. Dashed lines and curly brackets indicate the intervals that are considered reliable.

Encounter	Selected time intervals		Clustered HCS crossings	
	Star time (UT)	End time (UT)	Start time (UT)	End time (UT)
8	2021-04-25 14:10	2021-04-26 16:40	2021-04-29 00:30	2021-04-29 02:10
	2021-04-28 15:00	2021-04-30 23:50	2021-04-29 08:00	2021-04-29 14:45
9	2021-08-05 19:40	2021-08-14 02:10	2021-08-10 00:10	2021-08-10 02:20
			2021-08-10 09:00	2021-08-10 18:20
10	2021-11-19 08:10	2021-11-21 21:40	Not Found	
	2021-11-22 11:40	2021-11-23 00:10		
	2021-11-24 01:10	2021-11-24 23:40		
12	2022-05-28 19:40	2022-05-29 23:10	2022-06-02 11:30	2022-06-02 20:30
	2022-05-30 03:10	2022-06-01 02:10		
	2022-06-01 07:40	2022-06-01 17:40		
	2022-06-02 08:40	2022-06-05 23:10		
13	2022-09-03 06:10	2022-09-05 17:30	Not Found	
	2022-09-07 12:40	2022-09-08 13:10		

 Table 1. The selected reliable time intervals and clustered HCS crossings for each encounter.